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A longitudinal study of Google Scholar coverage between 2012 and 2013

Anne-Wil Harzing

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Prof. Anne-Wil Harzing
University of Melbourne
Department of Management & Marketing
Faculty of Business & Economics
Parkville Campus
Melbourne, VIC 3010
Australia

Email: anne-wil@harzing.com
Web: www.harzing.com

A LONGITUDINAL STUDY OF GOOGLE SCHOLAR COVERAGE BETWEEN 2012 AND 2013

ANNE-WIL HARZING

University of Melbourne

Department of Management and Marketing

Parkville Campus, Victoria 3010, Australia

Tel: +61 3 8344 3724, E-mail: harzing@unimelb.edu.au

ABSTRACT

Harzing (2013) showed that between April 2011 and January 2012, Google Scholar has very significantly expanded its coverage in Chemistry and Physics, with a more modest expansion for Medicine and a natural increase in citations only for Economics. However, we do not yet know whether this expansion of coverage was temporary or permanent, nor whether a further expansion of coverage has occurred. It is these questions we set out to respond in this research note.

We use a sample of 20 Nobelists in Chemistry, Economics, Medicine and Physics and track their h-index, g-index and total citations in Google Scholar on a monthly basis. Our data suggest that - after a period of significant expansion for Chemistry and Physics - Google Scholar coverage is now increasing at a stable rate. Google Scholar also appears to provide comprehensive coverage for the four disciplines we studied. The increased stability and coverage might make Google Scholar much more suitable for research evaluation and bibliometric research purposes than it has been in the past.

A LONGITUDINAL STUDY OF GOOGLE SCHOLAR COVERAGE BETWEEN 2012 AND 2013

INTRODUCTION

Despite a growing concern about the potentially perverse impact of research evaluation and university rankings (see e.g. Marginson, 2007; Adler & Harzing, 2009), most universities and academics world-wide are now subject to increased monitoring and evaluation of research outputs. Many university rankings and government research assessments use bibliometric indicators, drawing on either the Web of Science or Scopus database, rather than peer review. This might be problematic for the Social Sciences as the Web of Science and Scopus do not generally cover citations in books, book chapters, or conference papers. Journal coverage in these databases is also dramatically lower in the Social Sciences than in the Sciences. For instance, Kousha & Thelwall (2007) found that around 77% (49 of 64) of their selected journals in science disciplines, but only 13% (6 of 44) of social science journals were indexed in the Web of Science.

In this short research note, we will therefore assess to what extent Google Scholar, which includes publications and citations in *any* academic outlet, can be used as an alternative source of citation data. Google Scholar does not offer the authority structure or transparency of coverage that librarians and bibliometricians expect from a scientific information resource. However, it might well be of considerable use for individual academics interested in citation analysis, as well as higher level bibliometric analyses such as government research assessments. In this research note, we report on a longitudinal study of Google Scholar coverage for a select group of 20 Nobel Prize winners in Chemistry, Economics, Medicine and Physics. There are a large number of prior studies that have studied Google Scholar coverage at *one* particular point in time (for a comprehensive overview see Harzing, 2013). However, to the best of our knowledge, there have been no prior studies that investigated the stability of Google Scholar coverage on a *longitudinal* basis.

The only exception is our earlier study (Harzing, 2013) using the same sample of Nobel Prize winners. In that study, we showed that between April 2011 and January 2012, Google Scholar had very significantly expanded its coverage in Chemistry and Physics, with a more modest expansion for Medicine. For Economics, the average monthly citation increase found over the nine months was similar to the increase in ISI citations, hence confirming our earlier observations (Harzing & van der Wal, 2008) that Google Scholar had a competitive advantage in the Social Sciences. The expansion of Google Scholar coverage for the Sciences and Medicine between April 2011 and January 2012 is very encouraging. However, we do not yet know whether this expansion of coverage was temporary or permanent, nor whether a further expansion of coverage has occurred. It is these questions we set out to respond in this research note.

METHODS

Sample

Nobel Prize winners constitute a group of high-performing researchers with a substantial research output and hence are particularly suitable for our purpose. We included Nobel Prize winners in Chemistry, Economics, Physics, and Medicine. Peace and Literature were excluded as these prizes are not awarded based on academic performance. Five Nobelists were selected from each field, concentrating on recent years (2008-2010). However, in order to establish whether Google coverage differed by the relative age of publications, we also included one Nobelist each from 2000 and 1990. In years with multiple winners for a particular field, we selected the first Nobel Prize winner

unless this Nobelist had a particularly common name. Our final sample of Nobelists is shown in Table 1, listing name, field, year of award, and the year of their first publication. Our sample represents a very wide range of publication ages, with the year of first publication ranging from 1940 to 1981. Hence, our sample is very suitable to assess Google Scholar coverage. Table 1 also shows that on average Nobelists started to publish in their late twenties and received their Nobel Prize around the age of seventy, after more than four decades of academic activity.

Table 1: List of Nobel Prize winners included in our study

Name	Field	Year of Award	Year of first publication	Age at first publication	Biological Age when prize	Academic Age when prize
EJ Corey	Chemistry	1990	1950	22	62	40
AJ Heeger	Chemistry	2000	1961	25	64	39
O Shimomura	Chemistry	2008	1954	26	80	54
A Yonath	Chemistry	2009	1966	27	70	43
E Negishi	Chemistry	2010	1965	30	75	45
H Markowitz	Economics	1990	1952	25	63	38
J Heckman	Economics	2000	1972	28	56	28
P Krugman	Economics	2008	1976	23	55	32
E Ostrom	Economics	2009	1965	32	76	44
P Diamond	Economics	2010	1964	24	70	46
JE Murray	Medicine	1990	1940	21	71	50
P Greengard	Medicine	2000	1954	29	75	46
H zur Hausen	Medicine	2008	1965	29	72	43
EH Blackburn	Medicine	2009	1972	24	61	37
RG Edwards	Medicine	2010	1954	29	85	56
JI Friedman	Physics	1990	1955	25	60	35
Z Alferov	Physics	2000	1963	33	70	37
Y Nambu	Physics	2008	1948	27	87	60
WS Boyle	Physics	2009	1951	27	85	58
AK Geim	Physics	2010	1981	23	52	29
Average				27	70	43

Data sources

The main data source used in this article is Google Scholar. Google Scholar is not without its critics. Jacsó's many studies (see e.g. Jacsó 2010) have documented serious doubts about the level of accuracy of citation counts in Google Scholar. However, recent large-scale investigations of Google Scholar accuracy (e.g. the LSE project on impact in the Social Sciences, 2011, and Harzing, 2013) suggest that the level of accuracy, stability and comprehensiveness displayed by Google Scholar is sufficient for broad-level comparisons. Google Scholar on its own is not very suitable for bibliometric analyses. Therefore, Publish or Perish (Harzing, 2007) was used to collect citation data from Google Scholar. Publish or Perish is a software program that retrieves and analyses academic citations. It uses Google Scholar to obtain the raw citations, then analyses these and presents a wide range of citation metrics in a user-friendly format. The results can also be exported to Excel, an option that was used to perform various calculations and create the graphs in this note.

We followed a comprehensive search strategy. Year exclusions were not used and we only used more than one initial for Nobelists whose names were relatively common. False matches were removed manually by a comprehensive review of publication outlets, co-authors, and publication

titles. Publications included in the h-index were verified individually to ensure they were published by the Nobelist in question. Any publications with substantial stray records were merged, especially if they were on the h-index threshold. The merging process did not substantially change the h-index. In most cases it stayed the same, for half a dozen Nobelists it increased or decreased by one. The verification and merging process was surprisingly quick, thanks to the flexible user interface of Publish or Perish, that allows sorting publications by author, year, title, source and publisher, and performs a publication merge with a simple drag and drop. The whole process took well under half an hour for most Nobelists and, because of the learning effect, took even less time for the repeat searches. Only Nobelists with namesakes in other disciplines required a bit more time.

Measures

In order to test the stability of Google Scholar coverage over time, we collected the total number of citations, the g-index and the h-index for each of our 20 Nobelists every month between January 2012 and January 2013. As in Harzing (2013), we chose the total number of citations as the most comprehensive measure of citation impact and the h-index as the best indication of the number of publications that had achieved a significant impact. The h-index is defined as follows: “A scientist has index h if h of his/her N_p papers have at least h citations each, and the other ($N_p - h$) papers have no more than h citations each” (Hirsch 2005, p. 16 569).

The g-index (Egghe, 2006) was added for this note as it provides a useful compromise between the h-index and the total numbers of citations by taking the excess citations over and above what is needed for a publication to be included in the h-index into account. The g-index is defined as follows: “Given a set of articles ranked in decreasing order of the number of citations that they received, the g-index is the (unique) largest number such that the top g articles received (together) at least g^2 citations.” The g-index might be particularly useful for Nobel Prize winners as the works for which they received their Nobel Prize are generally very highly cited, which would not be properly recognized by the h-index.

As all three metrics only changed incrementally over the months, we only report 5 data points in this paper, looking at our data in quarterly intervals. If Google Scholar coverage was stable over time, we would not expect to find a decline in citations, the g-index or the h-index over the five time periods, nor find huge increases over time. Of course, a modest increase in the h-index and g-index and a more noticeable increase in the total number of citations are to be expected over a 12-month period for a group of high-performing researchers.

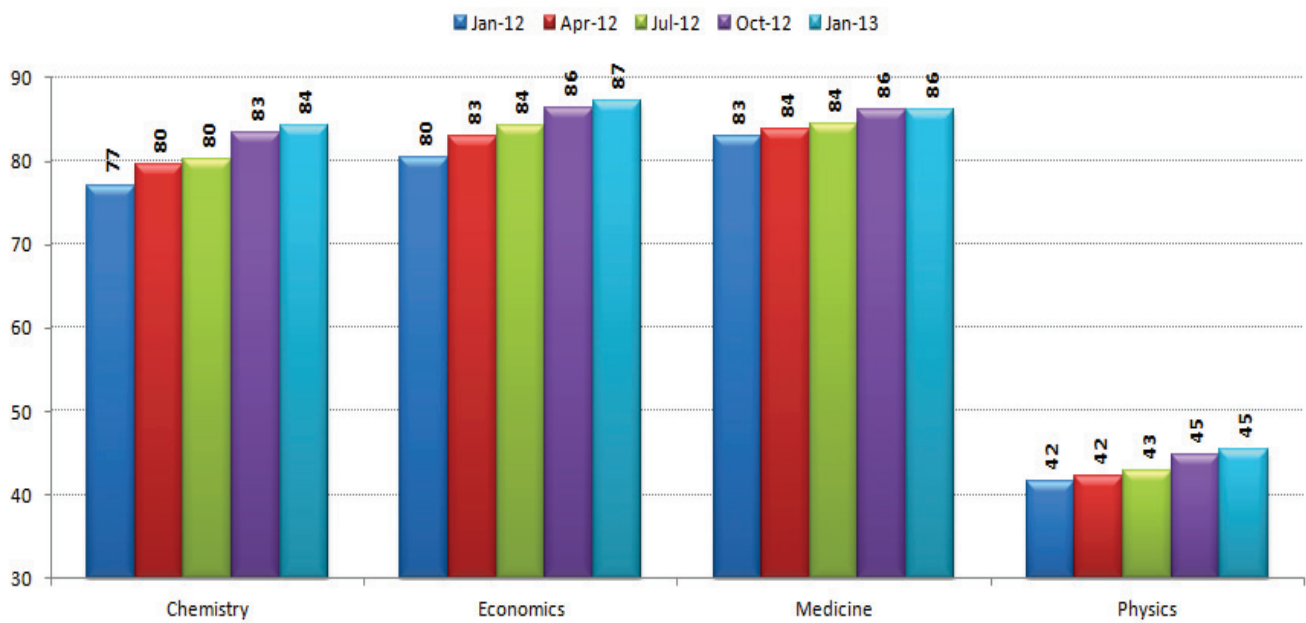
RESULTS

For ease of understanding, we present our results mainly in graphs. For each metric (h-index, g-index and total citations), we present the results per discipline over the year (January 2012 to January 2013) and then focus on the five Nobelists in one particular discipline to illustrate the results in more detail. For the total number of citations, we contrast the results in two different disciplines. We also include tables with the proportional increase in the h-index, the g-index and the total number of citations over the course of our one year data collection period.

H-index

As is apparent in Figure 1, there is a gradual increase in the average h-index of our Nobelists for each of the four disciplines. It is interesting to note how similar the average h index is for three of the four disciplines.

Figure 1: Average increase in h-index for Chemistry, Economics, Medicine and Physics between Jan-12 and Jan-13.



However, as Figure 2 shows the discipline average hides substantial differences *within* each discipline in terms of the size of the h-index. Elias Corey and Alan Heeger have h-indices that are three to three and a half times as high as Ada Yonath. However, all five Nobelists in Chemistry show an increase in h-index over the year that we monitored, although the increase is more substantial for some than for others. This was also the case for all the Nobelists in the other three disciplines.

Figure 2: Increase in h-index for Nobelists in Chemistry between Jan-12 and Jan-13.

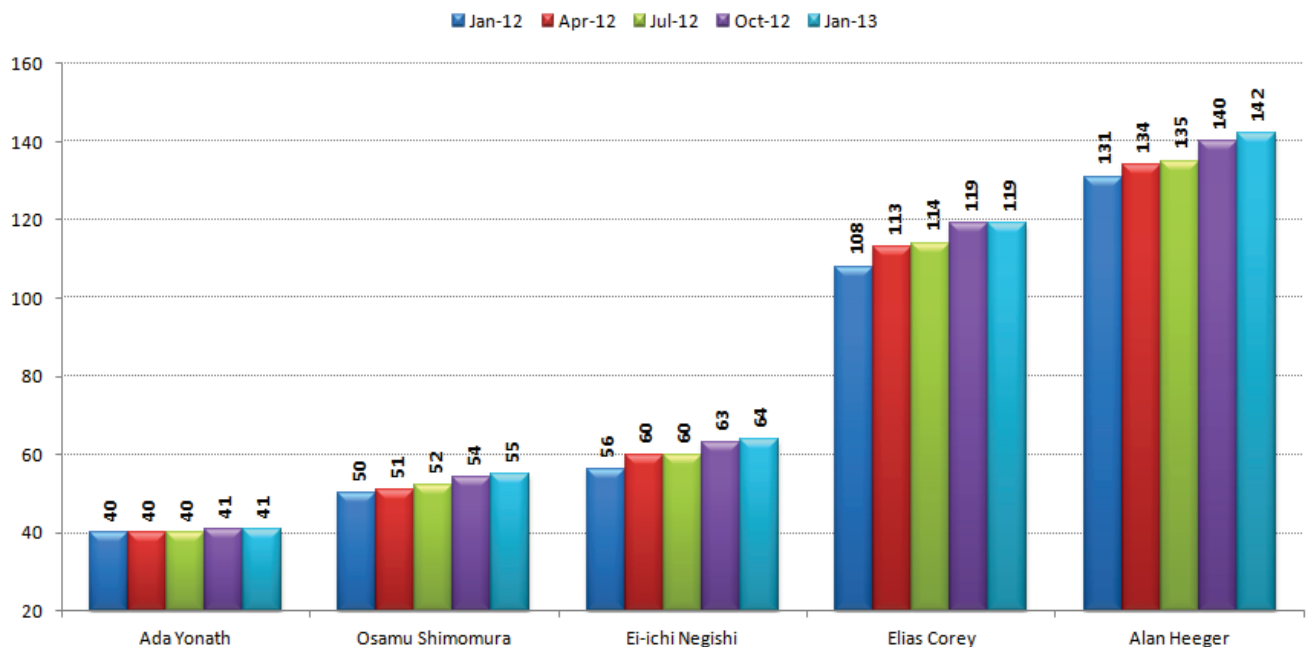


Table 2 shows that for every discipline the increase in h-index was larger in the first and third quarter than in the second and fourth quarter. For the year as a whole, Medicine shows a smaller increase than the three other disciplines, but none of the disciplines really stands out.

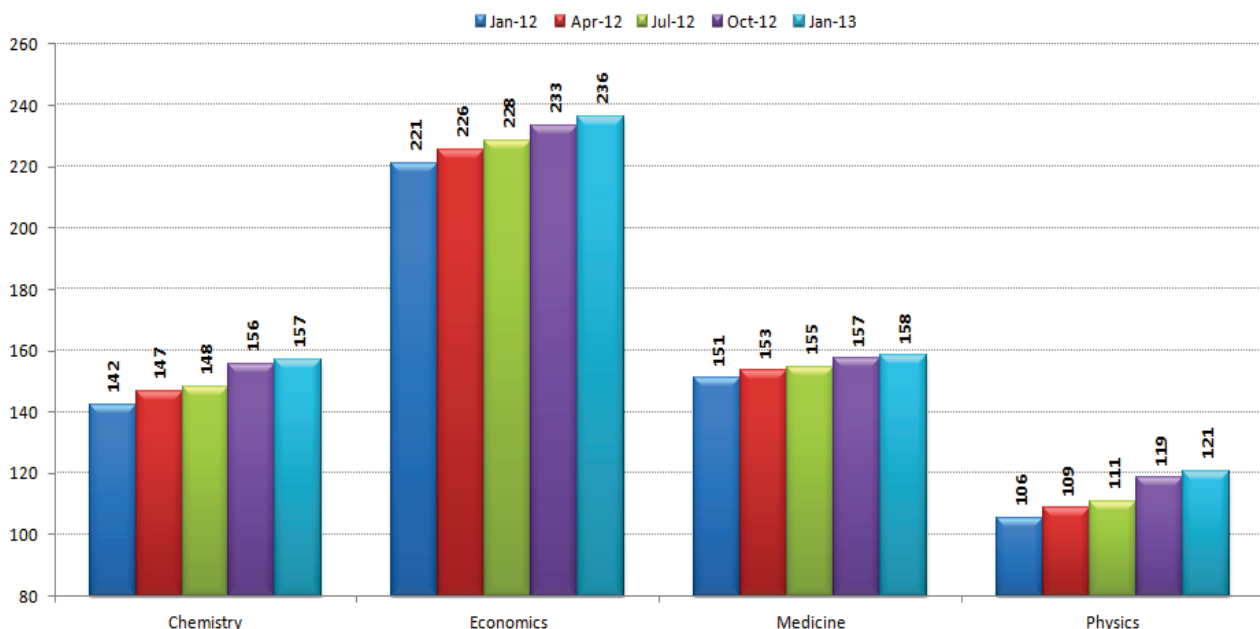
Table 2: Proportional increase in h-index over the year

Field	Jan-12 to Apr-12	Apr-12 to Jul-12	Jul-12 to Oct-12	Oct-12 to Jan-12	Jan-12 to Jan-13
Chemistry	3.2%	0.7%	3.9%	1.0%	9.1%
Economics	3.2%	1.7%	2.7%	1.2%	9.1%
Medicine	0.9%	1.0%	2.2%	0.0%	4.2%
Physics	1.4%	1.1%	4.4%	1.0%	8.1%
Total	2.2%	1.1%	3.3%	0.8%	7.6%

G-Index

Figure 3 shows that, like the h-index, the g-index also increases monotonously over the year for each of the four disciplines. Two of the four disciplines, Chemistry and Medicine, show very similar g-indices, with Economics Nobelists having the largest average g-index. As with the h-index, Physicists on comparison have a lower g-index.

Figure 3: Average increase in g-index for Chemistry, Economics, Medicine and Physics between Jan-12 and Jan-13.



In addition, Figure 4 shows that, as for Chemistry, there are large differences *within* the Economics discipline, with the Heckman and Krugman boasting a g-index that is twice as high as Markowitz and Diamond. However, all five Nobelists in Economics show an increase in their h-index over the year that we monitored, although the increase is more substantial for some than for others.

Only one Nobelist in the other disciplines showed a decline in their g-index during the monitoring period. Ada Yonath's third most cited paper no longer showed up when searching for her name in April 2012 as Google Scholar only parsed the paper's first author. Although this did not influence her h-index, it did influence her g-index, as well as her total number of citations (see below).

Figure 4: Increase in g-index for Nobelists in Economics between Jan-12 and Jan-13.

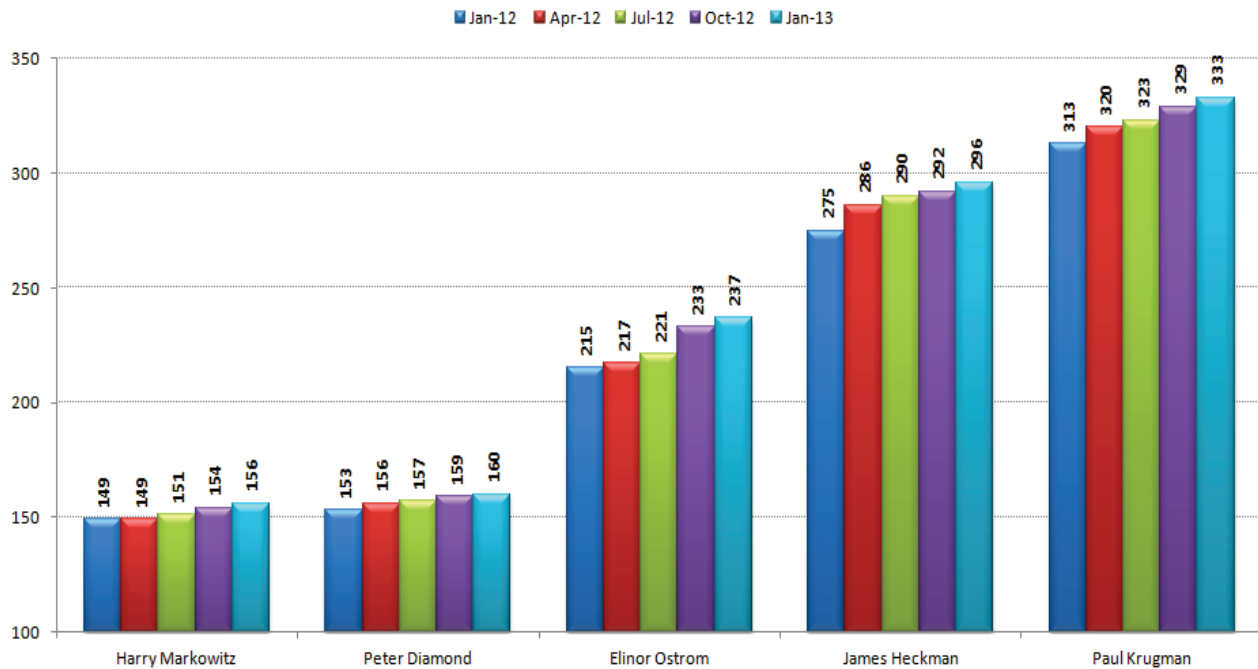


Table 3 shows that – just as for the h-index – the increase in g-index was larger for every discipline in the first and the third quarter than in the second and the fourth quarter. For the year as a whole, Medicine and Economics show a smaller increase than Chemistry and Physics. It must be noted though the increase for Physics is substantially due to Andre Geim, who has shown a spectacular increase in citations (see next section) over the year. Without this Nobelist the average increase for Physics is 9.2%, close to the increase for Chemistry. The larger increase for Chemistry and Physics for a metric that is more sensitive to an increase in citations than the h-index does suggest that Google Scholar coverage for these disciplines might still be increasing. We will come back to this in the next section.

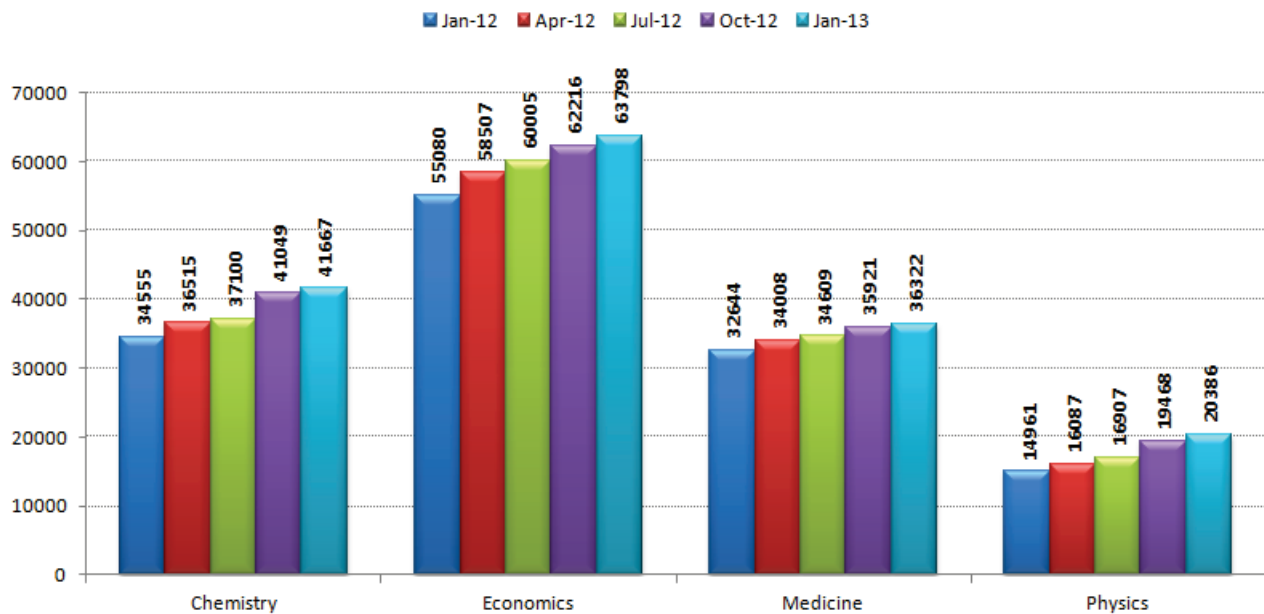
Table 3: Proportional increase in g-index over the year

Field	Jan-12 to Apr-12	Apr-12 to Jul-12	Jul-12 to Oct-12	Oct-12 to Jan-12	Jan-12 to Jan-13
Chemistry	2.9%	0.9%	4.8%	0.8%	9.7%
Economics	1.8%	1.2%	2.2%	1.2%	6.7%
Medicine	1.6%	0.9%	1.8%	0.7%	5.2%
Physics	1.9%	1.6%	6.7%	1.2%	11.9%
Total	2.1%	1.1%	3.9%	1.0%	8.3%

Total citations

Our final metric is the total number of citations. As Figure 5 shows, this also increases monotonously over the year-long monitoring period. Again, the average total number of citations in Chemistry and Medicine is very similar, with Economics showing a higher and Physics showing a lower average.

Figure 5: Average increase in total citations for Nobelists in Chemistry, Economics, Medicine and Physics between Jan-12 and Jan-13.



As Figures 6 and 7 show, there is considerably variance *within* disciplines with the most highly cited Nobelists (Greengard and Geim) having ten times as many citations as the lesser cited Nobelists. So even within our group of high-performing academics, there are substantial differences in terms of citation impact. As for the h-index and the g-index, nearly all of our 20 Nobelists showed an increase in citations over the yearlong monitoring period. The only exception was Ada Yonath, who “lost” a highly cited paper in the 2nd quarter due to a Google Scholar parsing error. However, her natural citation increase meant that at the end of the yearlong period her total citations had reverted back to the level at the beginning of the data collection period.

Figure 6: Increase in total citations for Nobelists in Medicine between Jan-12 and Jan-13.

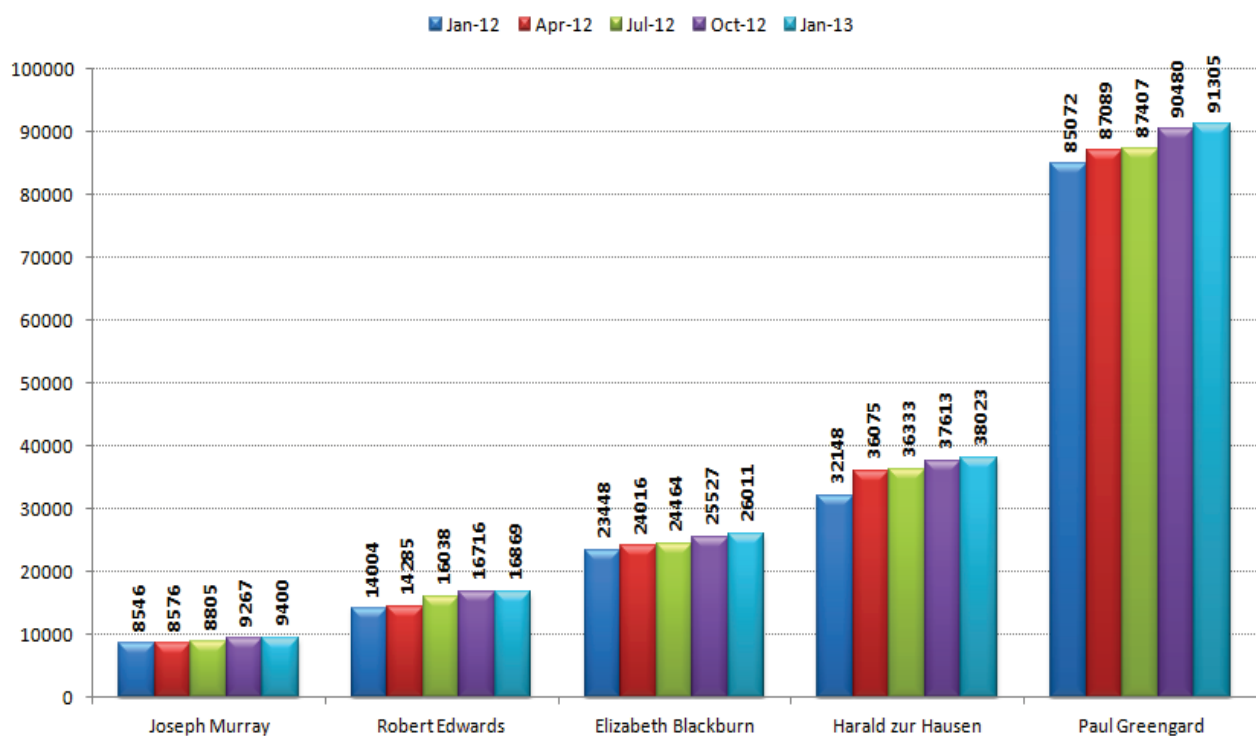


Figure 7 shows that Andre Geim was the only exception to the pattern of a *gradual* increase in citations. His citations increased by 20,000 in a year, a 50% increase. He is the youngest Nobelist in our sample (52 versus an average of 70) and received his Nobel Prize after less than three decades of publishing (average 43). This means that he is still in the steep upward stage of his career.

Figure 7: Increase in total citations for Nobelists in Physics between Jan-12 and Jan-13.

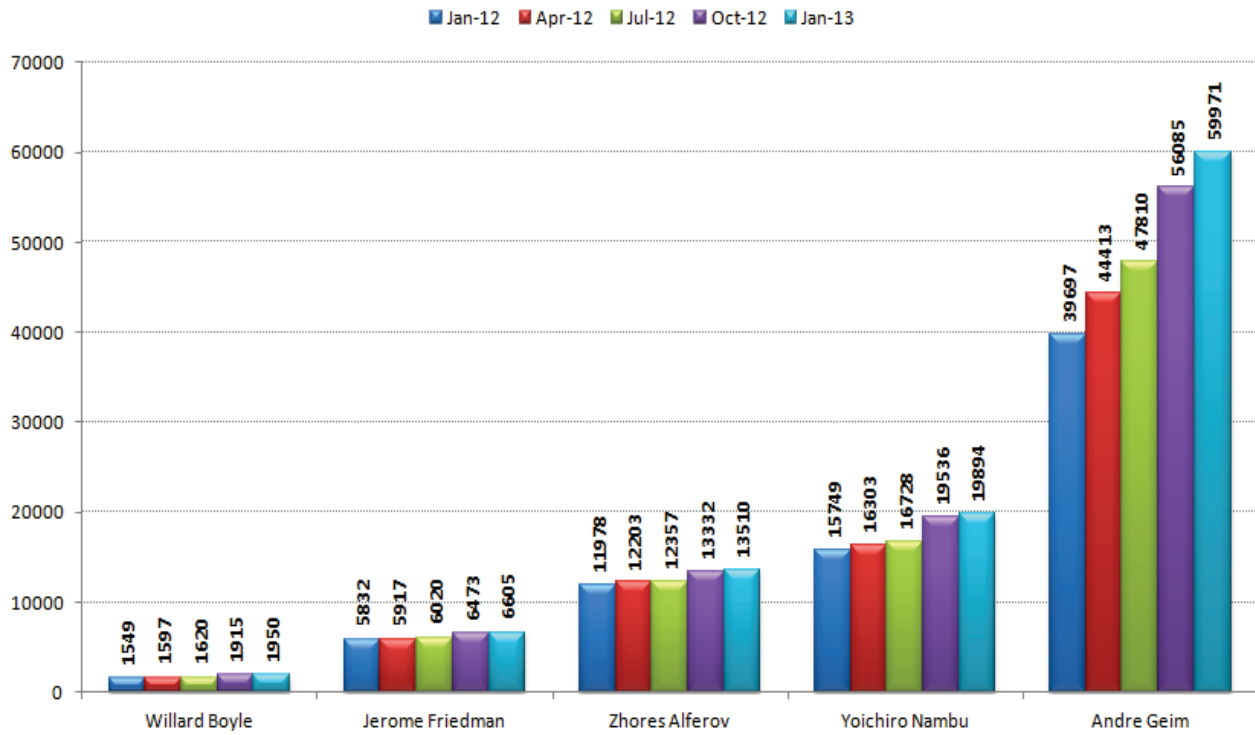


Table 4 shows that, as for the h-index and the g-index, total citations show a stronger increase in the first and third quarter than in the second and fourth quarter. This is true for every discipline. The overall increase over the year is fairly similar for Chemistry, Economics and Medicine. Physics shows a higher increase, but again much of this is due to Geim's steep upward trajectory. Without this Nobelist, the citation increase for Physics is similar to that of the other disciplines.

Table 4: Proportional increase in citations over the year

Field	Jan-12 Apr-12	Apr-12 Jul-12	Jul-12- Oct-12	Oct-12 Jan-13	Jan-12 Jan-13	Monthly in- crease Jan-12 to Jan-13	Monthly in- crease Apr-12 to Jan-12
Chemistry	4.7%	1.4%	9.8%	1.4%	18.4%	1.5%	6.1%
Economics	7.1%	2.6%	3.0%	2.6%	16.0%	1.3%	1.5%
Medicine	3.9%	3.6%	4.2%	1.2%	13.4%	1.1%	2.3%
Physics	4.4%	2.9%	13.5%	2.8%	25.9%	2.2%	3.8%
Total	5.0%	2.6%	7.6%	2.0%	18.4%	1.5%	3.4%

On average across the disciplines, Google Scholar citations increased by 1.5% per month between January 2012 and January 2013. This is less than half of the 3.4% monthly increase per month for the April 2011 to January 2012 period (see Harzing, 2013 for details). Although for Economics the monthly increase is similar across the two periods, in the last year it is lower for Medicine, and much lower for Physics and Chemistry. In fact, the overall monthly increase is now similar to the monthly increase in ISI citations as reported in our previous study (Harzing, 2013).

Google Scholar vs ISI

As reported in Harzing (2013), in January 2012 the total number of Google Scholar citations was higher than total the number of Web of Science citations for all but three of our Nobelists, all in Chemistry (Heeger, Negishi & Corey). For Heeger, the difference was marginal, for Negishi & Corey, however, the differences were substantial. We therefore verified whether there had been an improvement in Google Scholar coverage for these three Nobelists. This turned out to be the case. One year later, in January 2013, GS citations are now 6% higher than ISI citations for Heeger. For Negishi GS citations are still 4% lower than ISI citations, but this presents a strong improvement on the 27% lower GS citation level a year before. For Corey, GS citations are still 26% lower than ISI citations, but even this is an improvement on the 39% lower GS citation level in January 2012.

Between April 2011 and January 2013 Corey's Google Scholar citations have increased from 33,561 to 69,004. In April 2011 GS citations only amounted to 36% of his ISI citations; in January 2013 they reached 74% of his ISI citations. Looking at Corey's top-20 publications only, GS citations were on average 39% lower than ISI citations in January 2012; in January 2013 they were on average only 18% lower. The biggest shortfall of GS citations occurred to papers published in ACS or Elsevier journals in the 1950s, 1960s and early 1970s, more recent papers and papers in other journals show comparable coverage in the two databases.

DISCUSSION AND CONCLUSION

Our results indicated that, between January 2012 and January 2013, Google Scholar reported continuous, but modest increases in the h-index, g-index and citations for our sample of 20 Nobelists. There was only one exception: one of our Nobelists (Ada Yonath) "lost" a highly cited paper through a Google Scholar parsing error. Although this didn't influence her h-index, it did lead to a decline in g-index and citations. Overall, the number of Google Scholar citations over the one year period increased by approximately 1.5% per month for our sample, less than half of the monthly increase of the April 2011 to January 2012 period in our previous study (Harzing, 2013).

For the field of Economics, the average monthly increase was fairly similar across the two periods. However, for Medicine, the average monthly increase halved from 2.3% to 1.1%. Physics and Chemistry showed a very strong monthly increase in the April 2011 to January 2012 period, 6.1% and 3.8% respectively. However, between January 2012 and January 2013, Chemistry reverted to the overall average monthly increase of 1.5%, whereas the slightly higher than average monthly increase for Physics was solely due to Andre Geim's meteoric rise in citations. In fact, the overall monthly increase in Google Scholar citation is now similar to the monthly increase for ISI citations that was reported in our previous study (Harzing, 2013). Hence, it is reasonable to conclude that after a period of significant expansion, Google Scholar coverage is now increasing at a fairly stable rate.

We also performed a detailed comparison between Google Scholar and ISI coverage for the only three Nobelists that showed poor Google Scholar coverage in our previous study (Harzing, 2013). This showed that two of the three Nobelists now had good Google Scholar coverage (in addition to the 17 Nobelists that already had good coverage in our earlier study). Only one Nobelist in Chemistry still finds his citations underreported in Google Scholar, mainly because of poor coverage of citations to his articles published in ACS and Elsevier journals in the 1950s, 1960s and early 1970s. Hence, overall we can conclude that Google Scholar now appears to have comprehensive coverage across a wide set of disciplines for articles published in the last 4 decades.

In spite of its unique contribution in studying Google Scholar citations on a longitudinal basis, our study has a number of limitations that provide useful suggestions for further research. First, it only included 20 academics. Although this was sufficient for our purposes, a larger sample of academics might enable us to draw more accurate conclusions. Second, although we covered four distinct disciplines (Chemistry, Economics, Medicine and Physics), disciplines not covered in this study, such as Engineering, the Social Sciences beyond Economics and the Humanities might display different citation profiles. Third, although Nobel Prize winners were very suitable for our current purpose, they are a special group of academics. In order to establish whether Google Scholar is suitable for broader research evaluation purposes, we need to include “ordinary” academics in our sample. This would also counteract the only remaining problem we encountered in our current study: the lower coverage of articles published in the 1950s and 1960s and early 1970s. Academics currently employed in universities are more likely than Nobelists to have started publishing after this period. Finally, although our previous study (Harzing, 2013) included a longitudinal comparison with ISI data, we do not yet know how Scopus compares with Google Scholar and ISI from a longitudinal perspective. To accommodate these four limitations, we have initiated a comparative longitudinal analysis of GS, ISI and Scopus coverage over the period of a year for some 150 academics of a large research intensive university.

In spite of the limitations of the current study, our data seem to suggest that - after a period of significant expansion for Chemistry and Physics - Google Scholar coverage is now increasing at a stable rate. A comparison with ISI citation data also showed that, with the exception of a single Nobelist, Google Scholar also provides comprehensive coverage for four distinct disciplines. The increased stability and coverage might make Google Scholar much more suitable for research evaluation and bibliometric research purposes than it has been in the past.

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